

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Simon William BARKER and Ronald Roger
DESROSIERS

International
Application No.: PCT/CA2004/001780

International
Filing Date : 01 October 2004

U.S. Serial No.: 10/574,414

U.S. Filing
Date : 31 March 2006

For : SURFACE TEXTURING OF CASTING BELTS OF
CONTINUOUS CASTING MACHINES

1185 Avenue of the Americas
New York, New York 10036
18 September 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to applicants' duty of disclosure under 37 C.F.R. §1.56, the documents listed in the attached Form PTO-1449 are brought to the attention of the Examiner. One copy of each document is also attached.

Applicants respectfully request that the documents cited in the Form PTO-1449 be considered by the Examiner in connection with the above-identified application and that such documents be made of record in the application.

The citation of these documents is not a representation that

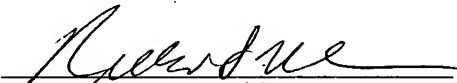
Applicants: Simon William BARKER and Ronald Roger DESROSIERS
Serial No.: 10/574,414
Filed: 31 March 2006
Page 2

these documents constitute a complete or exhaustive listing of the relevant art or that these documents are prior art.

The filing of this Information Disclosure Statement is being made as soon as possible.

No fee is deemed necessary in filing this Information Disclosure Statement. However, if any fee is required, authorization is given to charge any such fee to Deposit Account No. 03-3125.

Respectfully submitted,


Richard S. Milner
Registration No. 33,970
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT SUPPLEMENTAL <i>(Use as many sheets as necessary)</i>		Application Number	10/574,414
		Filing Date	March 31, 2006
		First Named Inventor	BARKER, Simon William
		Art Unit	u/k
		Examiner Name	u/k
Sheet 1 of 2	Attorney Docket Number	76773 CCD	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		us-4,614,224	09/30/1980	JEFFREY, Paul W. et al.	
		us-6,120,621	09/19/2000	JIN, Iljoon et al.	
		us-6,470,959 B1	10/29/2002	DESROSIERS, R. et al.	
		us-4,945,974	08/07/1990	HONEYCUTT III, LeRoy	
		us-4,934,443	06/19/1990	HONEYCUTT III, LeRoy et al.	
		us-4,749,027	06/07/1988	ALLYN, Jerome B. et al.	
		us-4,793,400	12/27/1988	WOOD, Richard A.	
		us-3,345,738	11/10/1964	MIZIKAR, E.A. et al.	
		us-4,250,950	02/17/1981	BUXMANN, Kurt et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 05/032744 A1	04/14/2005	GALLERNEAULT, Willard M.T. et al.		

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/574,414	
			Filing Date	March 31, 2006	
			First Named Inventor	BARKER, Simon William	
			Art Unit	u/k	
			Examiner Name	u/k	
Sheet	2	of	2	Attorney Docket Number	76773 CCD

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		FIELD, Michael, KAHLES, John F., and KOSTER, William P., <i>Surface Finish and Surface Integrity</i> , <u>Metals Handbook</u> , Ninth Edition, Volume 16, 1989, published by ASM International, Metals Park, Ohio, pp. 19-23.	
		SONG, J.F. and VORBURGER, T.V., <i>Surface Texture</i> , <u>ASM Handbook</u> , Volume 18, 1992, published by ASM International, Metals Park, Ohio, pp 334-345.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.